



Attorney Document No.: 5577-222

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Baskey et al.

Confirmation No.: 7474

Application No.: 09/693,268

Group Art Unit: 2155

Filed: October 20, 2000

Examiner: Nguyen, Thu Ha T

For: METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
SERVER BASED TYPE OF SERVICE CLASSIFICATION OF A  
COMMUNICATION REQUEST

Date: June 28, 2004

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PURSUANT TO 37 C.F.R. §1.97(c)**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

In accordance with the requirements of 37 C.F.R. § 1.97(c)(2), a check for the \$180.00 fee specified in 37 C.F.R. § 1.17(p) is enclosed. This amount is believed to be correct. However, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

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Erin A. Campion



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet	A1	of	A2
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**Complete if Known**

Application Number	09/693,268
Filing Date	10-20-2000
First Named Inventor	Mike Edward Baskey
Group Art Unit	2155
Examiner Name	Nguyen, Tho
Attorney Docket Number	5577-222

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## U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	4,403,286		Fry et al.	09-06-1983
	2.	4,495,570		Kitajima et al.	01-22-1985
	3.	4,577,272		Ballew et al.	03-18-1986
	4.	5,031,089		Liu et al.	07-09-1991
	5.	5,563,878		Blakeley et al.	10-08-1996
	6.	5,675,739		Eilert et al.	10-07-1997
	7.	5,917,997		Bell et al.	06-29-1999
	8.	5,923,854		Bell et al.	07-13-1999
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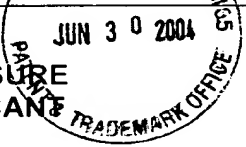
## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature \_\_\_\_\_

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Substitute form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		Application Number	09/693,268		
		Filing Date	10-20-2000		
		First Named Inventor	Mike Edward Baskey		
		Group Art Unit	2155		
		Examiner Name	Nguyen, Thu Ha T		
Sheet	A2	of	A2	Attorney Docket Number	5577-222

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OTHER NON PATENT LITERATURE DOCUMENTS			Technology Center 2100
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
	23.	"Allot Announces the General Availability of its Directory Services-Based NetPolicy™ Manager." Press Bulletin. Tel Aviv, Israel, February 28, 2000	
	24.	"Allot Communications Announces Business-Aware Network Policy Manager." Press Bulletin. Sophia Antipolis, France, September 20, 1999.	
	25.	"Allot Communications Announces Directory Services Based Network Policy Manager." Press Bulletin. Los Gatos, CA, April 5, 1999.	
	26.	"Allot Communications Announces the NetEnforcer Family of IP Traffic Management Products: Fault-Tolerant, Scaleable, Policy-Based Bandwidth Management, QOS, SLA Solutions." Press Bulletin. Burlingame, CA, December 13, 1999.	
	27.	"Allot Communications Launches NetEnforcer with NetWizard, the Fastest Way to Implement Accurate and Reliable Network QOS Policies." Press Bulletin. Burlingame, CA, January 25, 2001.	
	28.	"Allot Introduces Turnkey Next Generation IP Service and Creation Solution—the Virtual Bandwidth Manager." Press Bulletin. Atlanta, GA, SUPERCOMM 2000, Booth #8458, June 5, 2000.	
	29.	"Data Communications Awards Allot Communications 'Hot Producer' in Internetworking/IP Tools Category." Press Bulletin. Los Gatos, CA, January 18, 1999.	
	30.	"Policy-Based Network Architecture." From <a href="http://www.allot.com">www.allot.com</a> , pp.1-11.	
	31.	A. Dahlin et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden (May 1998).	
	32.	Aron et al. "Efficient Support for P-HTTP in Cluster-Based Web Servers." Proc. Of 1999 Annual Usenix Technical Conference, Monterey, CA, June 1999.	
	33.	Aron et al. "Scalable Content-aware Request Distribution in Cluster-based Network Server." Proc. Of the 2000 Annual Usenix Technical Conference, San Diego, CA, June 2000.	
	34.	Aron, Mohit. "Scalable Content-aware Request Distribution in Cluster-based Network Servers." <a href="http://softlib.rice.edu/softlib/scalableRD.html">http://softlib.rice.edu/softlib/scalableRD.html</a> . Department of Computer Science, Rice University.	
	35.	Brochure entitled, <i>ACEdirector™ 8-PORT 20/200 MBPS ETHERNET WEB SWITCH</i> . Alteon WebSystems, San Jose, CA (1999).	
	36.	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).	
	37.	Brochure entitled, <i>The Next Step in Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (November 1999).	
	38.	Hansen et al., <i>Dynamic Adaptation of Network Connections in Mobile Environments</i> , <i>IEEE Internet Computing</i> , January-February 1998.	
	39.	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2</a> ; 1.3.1.2.5 Virtual IP Addressing (VIPA); Excerpt from IP Configuration for OS/390, pp 1-4 (1998).	
	40.	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2</a> ; 1.3.20 DEVICE and LINK Statement—Virtual Devices (VIPA); Excerpt from IP Configuration for OS/390, pp.1-3 (1998).	
	41.	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2</a> ; 1.3.23. HOME Statement; Excerpt From IP Configuration for OS/390, pp. 1-6 (1998).	
	42.	Mac Devine. Presentation entitled, <i>Networking and the Parallel Sysplex</i> . OS/390 Expo and Performance Conference (October 22, 1999).	
	43.	Mac Devine. Presentation entitled, <i>The Parallel Sysplex: Concepts and Considerations</i> . Share Session 3406 (March 5, 2000).	
	44.	Pai et al. <i>Locality-Aware Request Distribution in Cluster-based Network Servers</i> . Proceedings of the 8 <sup>th</sup> International Conference on Architectural Support for Programming Languages and Operating Systems (ASPLOS VIII), San Jose, CA, October, 1998.	

Examiner Signature		Date Considered	
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